Texas State Board of Examiners of Psychologists November 2019 Newsletter

Mandatory Human Trafficking Prevention Training Coming Soon

H.B. 2059 was adopted by the legislature and signed into law by Governor Abbott following the 86th Legislature. This bill, which added Chapter 116 to the Occupations Code, contains a provision that will directly impact licensees. Pursuant to Section 5 of the bill, the Board is required to notify its licensees of the mandatory training that each licensee must undergo in human trafficking prevention as a condition of license renewal. The requirement for this training will begin on or after September 1, 2020. The training has not yet been developed, but the Texas Health and Human Services Commission has been tasked with developing the training and the Board's Executive Director is assisting with the development of this training. The Board will notify its licensees once this training becomes available.

Update on the Texas Behavioral Health Executive Council

The Council consists of the following members:

Gloria Canseco, Chair, Public Member

TSBEP members:

Susan Fletcher, Ph.D., Psychologist Board Member John K. Bielamowicz, Public Member

TSBEPC members:

Steve Christopherson, LPC, Chair Sarah Abraham, Public Member

TSBEMFT members:

Jennifer Smothermon, M.A., LMFT, Chair George Francis, IV, Public Member

TSBSWE members:

Timothy M. Brown, LCSW, Chair Megan K. Graham, Public Member

The Texas Behavioral Health Incubation Taskforce is charged with assisting with the establishment and transfer of regulatory programs to the Council and is made up of the following members:

Timothy M. Brown, Chair, TSBSWE Jennifer Smothermon, Chair, TSBEMFT Steve Christopherson, Chair, TSBEPC Tim Speer, PLCU Manager for HHSC Christina Kaiser, Enforcement Manager for TDLR Darrel D. Spinks, Executive Director for TSBEP

The Council took several important actions at its October 15, 2019 meeting, including adopting three future meeting dates. Those dates are set out below and have been listed on the Board's Important Dates webpage:

- January 14, 2020 @9am
- March 2, 2020 @9am
- June 16, 2020 @9am

Second, the Council created a hiring committee to develop the job description, point key system, and interview questions, as well as to interview candidates for the Executive Director position and make recommendations to the full Council. The hiring committee consists of John K. Bielamowicz, Steve Christopherson, Jennifer Smothermon, and Timothy Brown.

Third, the Council approved and authorized an interagency agreement with TSBEP for Mr. Spinks to serve as the Interim Director for BHEC until the Executive Director can be hired.

Fourth, the Council approved and authorized memorandums of understanding with:

- TSBEP for accounting and purchasing services, general counsel services, and board/council member training.
- the Health Professions Council (HPC) for all HPC related matters, e.g. database transfer and management.
- TDLR for joint-rulemaking, human resources, and meeting administration services.

Finally, the Council requested that a representative from HPC be invited to its next meeting and that the following topics be placed on the agenda for the January 14th meeting.

- a discussion about outcome measures;
- an update on the search for an Executive Director;
- an update on services delivered through interagency agreements;
- an update from the member boards;
- an update from HHSC on compliance with Sunset management actions; and
- a discussion about the anticipated rulemaking process under Chapter 507 of the Occupations Code and continuing rule development authority by member boards.

Comparison of Executive Council, Professional Board, and Staff Duties

While some variation may ultimately result following adoption of rules, policies, and procedures by the Executive Council, the following is generally believed to accurately reflect the division of responsibility between the Executive Council, the professional boards (e.g., TSBEP), and the agency staff.

Administration

Executive Council duties

- Adopts rules and policies to standardize agency administrative functions, customer service, and human resources
- Hires and annually reviews an executive director that directs staff and implements Council policies and procedures
- Sets fees to cover costs of performing services by the agency

Staff duties

- Manages and performs the day-to-day operations of the agency, including conducting administrative and customer service activities to implement Council policies and procedures
- Performs accounting services and manages the agency's finances
- Provides training for Council and board members and staff
- Ensures compliance with open meetings, public information, and retention requirements
- Determines and reports on agency information technology needs and capabilities
- Advises the Council and boards on rulemaking, duties, and legal obligations as necessary

Licensing

Executive Council duties

- Sets appropriate fees for licensing and renewal
- Adopts rules and policies for licensing procedures
- Reviews and adopts proposed rules related to license qualifications and practice standards proposed by the boards
- Determines consistent standards for auditing continuing education
- Conducts fingerprint background checks of applicants for initial license or renewal
- Administers the jurisprudence exams for each profession

Professional Boards duties

- Proposes all rules related to license qualifications, examinations, criminal convictions, continuing education, and a schedule of complaint sanctions
- Proposes all rules related to the scope of practice for each profession regulated by each board
- Provides expertise on case-by-case exceptions to licensing requirements
- Proposes requirements for continuing education providers

Staff duties

- Implements policies and procedures adopted by the Council to conduct licensing functions
- Reviews and approves license and renewal applications
- Consults boards or contracted experts for practice-related licensing matters
- Approves continuing education providers that meet criteria
- Performs audits of licensees' compliance with continuing education requirements
- Monitors temporary, provisional, trainee, and intern licensees for compliance with rules

Enforcement

Executive Council duties

- Adopts rules and policies related to enforcement of non-professional standards, such as administrative duties, reporting requirements, and unlicensed practice violations
- Reviews and adopts rules related to standards of care and ethics proposed by boards
- Exercises sanction and administrative penalty authority using the schedule of sanctions
- Adopts rules and policies for standardized complaint intake, investigation, and resolution procedures
- Determines appropriate cases to pursue through formal case resolution at SOAH or district court
- Adopts final judgment in SOAH and district court cases
- Approves dismissals and negotiated settlement orders

Professional Boards duties

- Proposes all rules related to standards of care and ethics
- Proposes a schedule of sanctions and complaint prioritization policies to the Executive Council
- Provides expertise to staff on standard-of-care complaint cases

Staff duties

- Conducts investigations and enforcement activities as directed by priorities and policies adopted by the Council
- Dismisses cases that are non-jurisdictional, do not allege a violation, or lack evidence to prove a violation
- Consults boards or contract experts for practice-related questions
- Negotiates agreed settlement orders for cases
- Monitors licensees for compliance with board orders
- Reports to and monitors disciplinary actions through national databanks

Congratulations to TSBEP's Executive Director



Mr. Darrel Spinks, TSBEP's Executive Director was awarded the 2019 "Ming Fisher Award." This award was presented to Mr. Spinks by the Association of State and Provincial Psychology Boards (ASPPB) at their Annual Meeting in Minneapolis, MN this past October. The Ming Fisher Award is a highly prestigious award, not given every year and awarded "to a state or provincial psychology board administrator or staff person who has contributed to psychologists and psychology by their dedication to licensing and regulations,

and an administrative approach that has been consistently fair, respectful, and just." The Texas State Board of Examiners of Psychologists is proud to have their Executive Director recognized with such a high honor.

TEXAS STATE BOARD OF EXAMINERS OF PSYCHOLOGISTS DISCIPLINARY SANCTIONS RATIFIED AT THE MAY 16, 2019 BOARD MEETING

NAME	CITY	NATURE/INFRACTION	DISCIPLINARY ACTION
Steven Garland Gray,	Colorado	The licensee was previously sanctioned by	Resigned in lieu of further
Ph.D.	Springs, CO	the Colorado Psychology Board for sexual	adjudication.
		harassment of an intern and again for	
		inadequate supervision of an intern. The	
		licensee also failed to timely notify the	
		Texas Psychology Board of a Colorado	
		disciplinary action.	
Charles Gutierrez, Ph.D.	San Antonio	The licensee failed to adequately describe	Administrative penalty and
		in his informed consent which	professional development.
		psychological services were to be provided	
		to patients and the licensee failed to include	
		any limitations to the certainty with which	
		diagnoses, judgments, or predictions could	
		be made when utilizing testing materials	
		that were not the most current versions for	
		assessments or reports.	
Joseph Mosher, Ph.D.	Carrollton	The licensee was arrested for felony	Resigned in lieu of further
		offenses: possession of child pornography	adjudication.
		and sexual performance by a child.	
Nancy A. Nichols, M.A.	Tyler	The licensee failed to obtain written	Reprimand and administrative penalty.
		informed consent from parents or legal	
		guardians prior to providing psychological	
		services at a public school.	
Rafael F. Otero, Ph.D.	Texarkana	The licensee failed to report child abuse	Probated suspension, practice
		within 48 hours as required by law and the	monitoring, practice limitation,
		licensee failed to conduct a child custody	administrative penalty, client refund,
		evaluation in accordance with all Board rule	and required passage of the
		requirements.	jurisprudence examination.

TEXAS STATE BOARD OF EXAMINERS OF PSYCHOLOGISTS DISCIPLINARY SANCTIONS RATIFIED AT THE AUGUST 15, 2019 BOARD MEETING

NAME	CITY	NATURE/INFRACTION	DISCIPLINARY ACTION
Barbara Suzanne Peavey,	Grapevine	The licensee supervised an unlicensed	Reprimand and restricted from
Ph.D.		person who conducted psychological	providing future supervision.
		services and the licensee signed an	
		application document for the individual, as	
		a supervisor, that contained inaccurate and	
		false information.	
Carlos A. Smith, Psy.D.	Missouri City	The licensee was convicted of Medicare	Resigned in lieu of further
		fraud.	adjudication.
Brett Valette, Ph.D.	Superior, CO	The licensee was previously sanctioned by	Administrative penalty.
		the Colorado Psychology Board and failed	
		to timely report the resolution of his	
		Colorado complaint to the Texas Board.	

TEXAS STATE BOARD OF EXAMINERS OF PSYCHOLOGISTS DISCIPLINARY SANCTIONS RATIFIED AT THE NOVEMBER 14, 2019 BOARD MEETING

NAME	CITY	NATURE/INFRACTION	DISCIPLINARY ACTION
Monte C. Miller, Psy.D.	San Antonio	The licensee received deferred adjudication	Probated suspension.
		for Medicaid fraud.	
David F. Dubin, Ph.D.	Austin	The licensee was convicted of Medicaid	Resigned in lieu of further
		fraud related to a single Medicaid payment	adjudication.
		in the amount of \$338.10.	-